

## Solution outlines to the written exam

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### Exercise 1

Consider the following language in  $\{0, 1\}^*$ :

$$K = \{0^n 1^n : n \in \mathbb{N}\} = \{\varepsilon, 01, 0011, 000111, 00001111, 0000011111, \dots\}$$

i.e., all strings composed by a sequence of zeroes followed by the *same* number of ones.

**1.1)** Write a single-tape Turing Machine with alphabet  $\Sigma = \{\_, 0, 1\}$  that recognizes  $K$ .

**1.2)** Prove or disprove the decidability of each of the following properties of TMs:

$$\mathcal{P}_1 = \{\mathcal{M} : \mathcal{M} \text{ decides } K\},$$

$$\mathcal{P}_2 = \{\mathcal{M} : \mathcal{M} \text{ decides } K \text{ in less than 100 steps}\},$$

$$\mathcal{P}_3 = \{\mathcal{M} : \mathcal{M} \text{ decides } K \cap \Sigma^{100} \text{ (i.e., strings in } K \text{ not longer than 100 symbols)}\}.$$

For 1.1 use any notation you like, and encode acceptance and rejection as you prefer (0/1 on tape, two different halting states, etc.).

### Solution 1

**1.1)** The simplest, although, not the most efficient, machine just keeps erasing the leftmost 0 and the rightmost 1 until the input is empty or some unexpected symbol appears (e.g., leftmost 1, rightmost 0, blank when a 1 should be erased).

We assume that the input is a contiguous string of 0's and 1's, surrounded by blanks, and that the machine starts on the leftmost input symbol. Here is the transition table:

|                   | $\_$                                       | 0                                  | 1                                 |
|-------------------|--|------------------------------------|-----------------------------------|
| erase-leftmost-0  | $\_ \rightarrow / \text{accept}$           | $\_ \rightarrow / \text{go-right}$ | $1 \rightarrow / \text{reject}$   |
| go-right          | $\_ \leftarrow / \text{erase-rightmost-1}$ | $0 \rightarrow / \text{go-right}$  | $1 \rightarrow / \text{go-right}$ |
| erase-rightmost-1 | $\_ \leftarrow / \text{reject}$            | $0 \leftarrow / \text{reject}$     | $\_ \leftarrow / \text{go-left}$  |
| go-left           | $\_ \rightarrow / \text{erase-leftmost-0}$ | $0 \leftarrow / \text{go-left}$    | $1 \leftarrow / \text{go-left}$   |

An encoding suitable for the TM simulator seen in class<sup>1</sup> is:

```
erase-leftmost-0 0 _ r go-right ; found and erased a 0
erase-leftmost-0 1 1 r halt-reject ; unexpected 1
erase-leftmost-0 _ _ r halt-accept ; the input has been consumed

go-right 0 0 r go-right ; keep skipping the input
go-right 1 1 r go-right
```

<sup>1</sup><http://morphett.info/turing/turing.html>

```

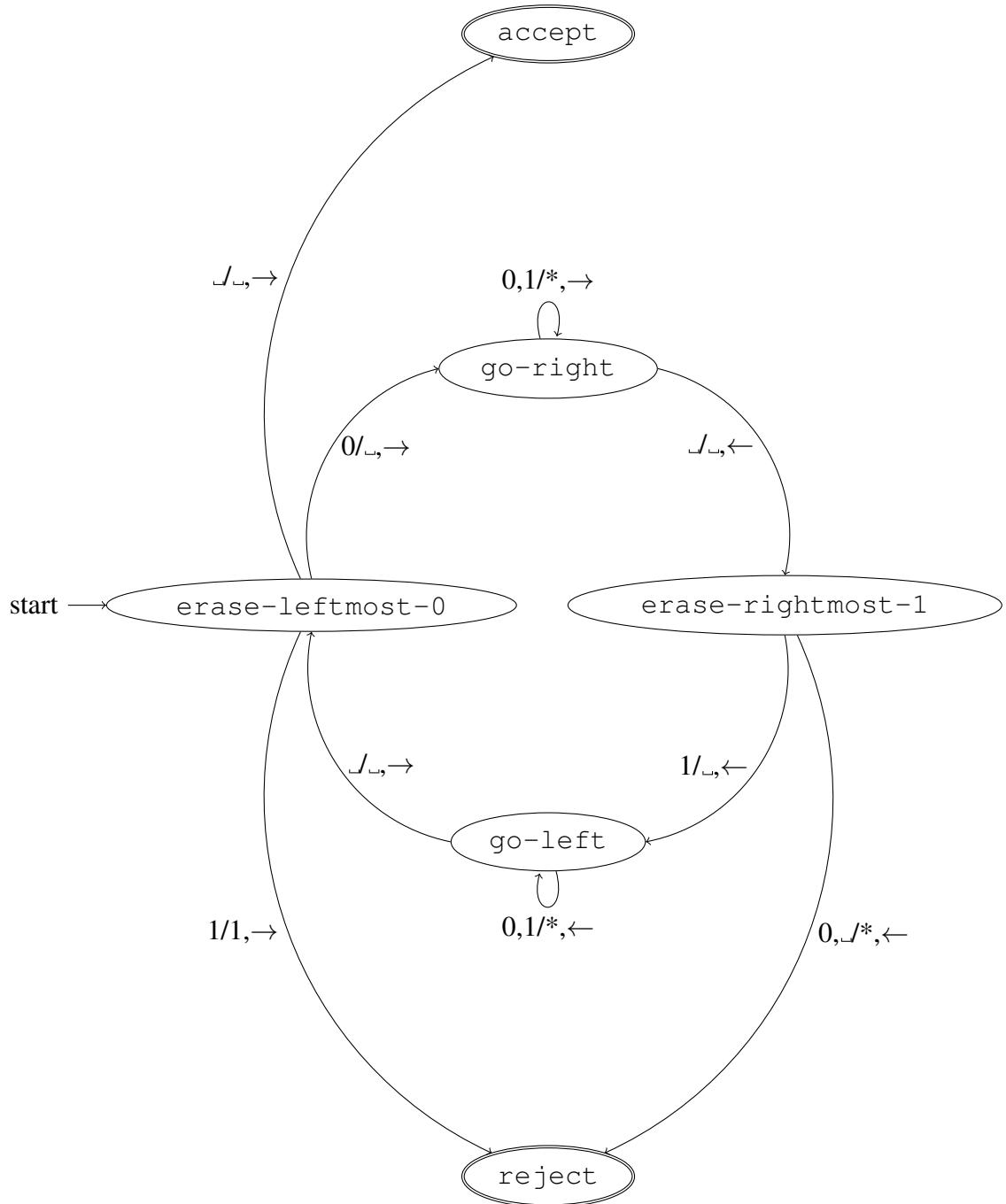
go-right _ _ 1 erase-rightmost-1 ; found the end of the input

erase-rightmost-1 1 _ 1 go-left      ; found and erased a 1
erase-rightmost-1 0 0 1 halt-reject ; unexpected 0
erase-rightmost-1 _ _ 1 halt-reject ; unexpected blank

go-left 0 0 1 go-left      ; keep skipping the input
go-left 1 1 1 go-left
go-left _ _ r erase-leftmost-0 ; found the beginning of the input

```

The same machine as an automaton form:



## 1.2)

- Property  $\mathcal{P}_1$  is clearly semantic ( $\mathcal{M} \in \mathcal{P}_1 \Leftrightarrow L(\mathcal{M}) = K$ ) and is not trivial (there is at least one machine that decides  $K$  and at least one that doesn't); therefore, by Rice's Theorem, it is undecidable.
- A TM limited to 100 steps cannot decide  $K$ . Consider, e.g., the string  $s_1 = 0^{1000}1^{1000} \in K$ . A TM limited to 100 steps wouldn't be able to read the whole input, therefore it wouldn't be able to tell  $s_1$  from  $s_2 = 0^{1000}1^{1001} \notin K$ . Therefore,  $\mathcal{P}_2 = \emptyset$ , hence it is trivially computable by a TM that always rejects.
- Again,  $\mathcal{P}_3$  is semantic and non-trivial, thus uncomputable.

## Observations

- Many other TMs are possible for 1.1.
- Observe that, since 1.1 requires the TM to just *recognize*  $K$ , rejection could be replaced by a non-halting computation.
- As usual, there is a significant distinction between the computability of  $K$  and the computability of the property "This machine decides  $K$ ".
- Property  $\mathcal{P}_2$  doesn't just require the TM to halt after 100 steps, but also to decide  $K$ . Therefore, simulating the TM for 100 steps isn't enough: we also need to consider which inputs it should be simulated on.
- The fact that the language defining  $\mathcal{P}_3$  is finite doesn't matter: Rice's theorem is still valid, because we wouldn't be able to always assert whether a TM would halt or not.

## Exercise 2

Prove that  $K$ , the language defined in Exercise 1, belongs to the complexity class **L**.

While 1.1 required a single-tape machine, class **L** has a different assumption. Here, however, you are not asked to write down the TM: just a few lines of pseudocode will do.

## Solution 2

Since  $\mathbf{L} = \text{DSPACE}(\log n)$ , we need to describe an algorithm whose additional space is logarithmic wrt the input's size.

For instance, consider a 2-tape TM implementation of the following algorithm:

```
function  $K(x)$ 
   $c \leftarrow 0$ 
  while next input symbol in  $x$  is 0
     $c \leftarrow c + 1$ 
  while next input symbol in  $x$  is 1
    if  $c = 0$ 
      reject and halt
     $c \leftarrow n - 1$ 
  if next input symbol in  $x$  is  $\perp$  and  $c = 0$ 
    accept and halt
  else
    reject and halt
```

The machine allocates a counter  $c$  in its working tape, initialized with 0, and scans the input: as long as it finds zeroes, it increases  $c$ ; then as long as it finds ones, it decreases it. It fails when finding a zero following a one, or when  $c$  falls below zero (underflow), or if  $c$  is nonzero at the end of the input.

The function is clearly implementable on a two-tape TM, and just the counter  $c$  needs to be stored in the working tape. Observe that in the worst case  $c$  is increased once per input symbol, therefore its value is never larger than  $|x|$ , so it requires at most  $\lceil \log_2 |x| \rceil = O(\log |x|)$  binary digits.

## Observations

- Remember: we talk about *logspace*, not time.
- Using more than one counter and an index to scan the input is fine, as long as we use a constant number of  $O(\log |x|)$ -bit variables.

### Exercise 3

Let  $L_1, L_2 \in \mathbf{NP}$ . Does  $L_1 \cup L_2 \in \mathbf{NP}$ ? Does  $L_1 \cap L_2 \in \mathbf{NP}$ ? Why?

Be as formal as you can, e.g.: “Since  $L_1 \in \mathbf{NP}$ , then there is a TM  $\mathcal{M}_1$  such that...”

### Solution 3

Since  $L_1 \in \mathbf{NP}$ , then there is a NDTM  $\mathcal{N}_1$  that decides  $L_1$  in polynomial time. Same for  $L_2$ . Given input  $x$ , to decide whether  $x \in L_1 \cup L_2$  we just need a NDTM that accepts  $x$  whenever  $\mathcal{N}_1$  or  $\mathcal{N}_2$  accepts it:

- Store  $x$  for future use.
- Run  $\mathcal{N}_1$  on input  $x$ . If  $\mathcal{N}_1$  accepts, then accept and halt.
- Restore input  $x$ .
- Run  $\mathcal{N}_2$  on input  $x$ .

This machine runs in time that is, in the worst case, the sum of the times of  $\mathcal{N}_1(x)$  and  $\mathcal{N}_2(x)$  plus the time to copy and restore  $x$ , therefore it is polynomial in  $|x|$ .

Likewise, to decide whether  $x \in L_1 \cap L_2$  we need a NDTM that accepts  $x$  whenever  $\mathcal{N}_1$  and  $\mathcal{N}_2$  accepts it:

- Store  $x$  for future use.
- Run  $\mathcal{N}_1$  on input  $x$ . If  $\mathcal{N}_1$  rejects, then reject and halt.
- Restore input  $x$ .
- Run  $\mathcal{N}_2$  on input  $x$ .

The worst-case runtime is the same of the previous machine.

## Observations

- The fact that  $L_1 \cap L_2$  is (in some sense) “smaller” than both  $L_1$  and  $L_2$  doesn’t mean that it is “easier”, nor that  $L_1 \cup L_2$  is “harder”.
- Also remember that the exercise doesn’t cite completeness.

#### Exercise 4

Consider the following classical **NP**-complete languages:

$$\begin{aligned}\text{CLIQUE} &= \{(G, k) : \text{Undirected graph } G \text{ has a completely connected subgraph of size } k\}, \\ \text{INDSET} &= \{(G, k) : \text{Undirected graph } G \text{ has a completely disconnected subgraph of size } k\}.\end{aligned}$$

**4.1**) Describe a polynomial-time reduction from one language to the other.

**4.2**) Show that  $\text{CLIQUE} \cap \text{INDSET} \neq \emptyset$ .

For 4.1, choose the direction you like. In 4.2, don't be afraid of simple answers: to show that a set is not empty, you just need to find an element in it.

#### Solution 4

**4.1**) See the notes:  $G = (V, E)$  has a clique of size  $k$  if and only if  $\bar{G} = (V, \bar{E})$  (same vertex set, complementary edge set) has an independent set of the same size.

**4.2**) We need to show that there is a graph  $G$  and an integer  $k$  such that  $G$  has both a clique of size  $k$  and an independent set of size  $k$ . Just take any nonempty graph  $G$  and  $k = 1$ :

$$(G, 1) \in \text{CLIQUE} \cap \text{INDSET}.$$

#### Observations

- Any example is OK, provided that the same graph contains both a  $k$ -clique and a  $k$ -indset for the *same* value of  $k$ .
- CLIQUE and INDSET are *languages*, in this case sets of instances in the form  $(G, k)$ : to show that their intersection is not null, we must show that the *same* instance belongs both to CLIQUE and INDSET.